

**VERIFIED STATEMENT (DECLARATION)  
CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(c-f) and 1.27(b-d))**

With respect to the invention by Thomas E. Gester, William M. Rosenblum, Gayle K. Christopher, David T. Hamrick, Lawrence J. DeLucas and Brian Tillotson, entitled METHOD FOR ACQUIRING, STORING AND ANALYZING CRYSTAL IMAGES described in

☒ the specification filed herewith

☐ application no. \_\_\_\_\_, filed \_\_\_\_\_

☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

**I. IDENTIFICATION OF DECLARANT AND RIGHTS AS A SMALL ENTITY**

I hereby declare that I am

**(a) Independent Inventor**

☐ a below named independent inventor, and that I qualify as an independent inventor, as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office.

**(b) Non-inventor Supporting a Claim By Another**

☐ making this verified statement to support a claim by \_\_\_\_\_  
\_\_\_\_\_ for a small entity status for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, and I hereby declare that I would qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, if I had made the above identified invention.

**(c) Small Business concern**

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Diversified Scientific, Inc.

ADDRESS OF CONCERN 1075 13th St. South, Birmingham, AL 35205-3409

That the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.27(b-d), and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Sections 41(a) and (b) of title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

(d) Non-Profit Organization

☐ an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: \_\_\_\_\_

ADDRESS OF ORGANIZATION: \_\_\_\_\_

TYPE OF ORGANIZATION

- ☐ University or other institution of higher education
- ☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
- ☐ Nonprofit scientific or educational under Statute of State of the United States of America  
(Name of State \_\_\_\_\_)  
(Citation of Statute \_\_\_\_\_)
- ☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)),  
if located in the United States of America
- ☐ Would qualify as nonprofit scientific or educational under Statute of State of the United States of  
America if located in the United States of America  
(Name of State \_\_\_\_\_)  
(Citation of Statute \_\_\_\_\_)

and that the nonprofit organization identified above qualifies as a nonprofit organization as defined  
in 37 CFR 19(e) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States  
Code.

**II. OWNERSHIP OF INVENTION BY DECLARANT**

I hereby declare that rights under contract or law remain with and/or have been conveyed to the above  
identified

- ☐ person (item (a) or (b) above)
- ☒ concern (item (c) above)
- ☐ organization (item (d) above)

EXCEPT, that if the rights held are not exclusive, each individual, concern having rights to the  
invention is listed below and no rights to the invention are held (1) by any person who could not be classified  
as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, (2) any concern which  
would not qualify as a small business concern under 37 CFR 1.9(d) or (3) a nonprofit organization under 37  
CFR 1.9(e)

- ☒ no such person, concern or organization
- ☐ person, concerns or organizations listed below

FULL NAME \_\_\_\_\_ ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

Docket No.  
DSI-10002/22

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD FOR ACQUIRING, STORING AND ANALYZING CRYSTAL IMAGES**

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on January 14, 2000 as United States Application No. or PCT International Application Number 09/462,950 and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

60/052,902	7/16/97
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)

<b>PCT/US98/14776</b>	<b>7/16/98</b>	<b>Abandoned</b>
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
<b> </b>	<b> </b>	<b> </b>
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

Patent and Trademark Office-U.S. DEPARTMENT OF COMMERCE

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

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